

# Recovery of neutron-irradiated VVER-440 RPV base metal and weld exposed to isothermal annealing at 343 °C up to 2000 hours

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## 9 Abstract

10 Neutron irradiation causes embrittlement of reactor pressure vessel (RPV) steels. Post-irradiation  
11 annealing is capable of partly or fully restoring the unembrittled condition. While annealing at high  
12 temperatures (e.g. 475 °C) was successfully applied to extend the lifetime of operating VVER-440  
13 reactors, the benefit of annealing at lower temperatures (e.g. 343 °C – the maximum to which the  
14 primary cooling water can be heated) is a matter of debate. In this study, neutron-irradiated VVER-  
15 440 RPV base metal and weld were exposed to isothermal annealing at 343 °C up to 2000 hours.  
16 Given the limited amount of material, the degree of recovery was estimated in terms of Vickers  
17 hardness, the ductile-brittle transition temperature derived from small punch tests, and the master  
18 curve reference temperature derived from fracture mechanics tests of subsized samples. For the base  
19 metal, small-angle neutron scattering was applied to underpin the findings at the nm-scale. We have  
20 found significant partial recovery in both materials after annealing for 300 hours or longer. The  
21 variations of the degree of recovery are critically discussed and put into the context of wet annealing.

## 22 1 Introduction

23 After a period of gradual decline of the global share of nuclear electricity generation, there are  
24 currently well-known advantages raising renewed interest in nuclear power (Dudarev, 2022).  
25 Lifetime extension of operating reactors is part of the story, with thermal recovery annealing of the  
26 reactor pressure vessel (RPV) being an option. The RPV is a critical component of nuclear power  
27 plants (NPP). On the one hand, neutron irradiation gives rise to a progressing shift of the ductile-  
28 brittle transition temperature (DBTT) of RPV steels towards higher temperatures (called  
29 embrittlement) (Ortner, 2023) raising the issue of safety of the RPV against brittle failure. On the  
30 other hand, the RPV is not economically replaceable (Ortner, 2023). Therefore, the embrittlement  
31 issue sets a limit to the lifetime of an RPV. One potential option to extend the lifetime is recovery  
32 annealing of the part of the RPV exposed to noticeable neutron irradiation at temperatures in excess  
33 of the operation temperature, the latter typically ranging between 260 and 300 °C for current  
34 pressurized water reactors.

35 From the technical point of view, two methods of recovery annealing were proposed and applied: dry  
36 annealing and wet annealing (Amayev et al., 1993; Mager et al., 1998; Pelli and Törrönen, 1998;

37 Brumovsky et al., 2008; Brumovsky, 2015; Kryukov, 2019). For dry annealings carried out in the  
38 past, the RPVs were heated by electric-resistance radiant heaters arranged in the interior of the RPV.  
39 As a major advantage, dry annealing at suitable temperatures (e.g. 475 °C) is capable of nearly  
40 restoring the DBTT of the unirradiated material (Brumovsky, 2015). Disadvantages of dry annealing  
41 are (1) the time and effort required to remove the fuels and internals from the reactor interior and to  
42 introduce the heating system and (2) the risk of exceeding the acceptable residual stress level in the  
43 RPV wall. The success of large-scale dry annealings applied to power reactors was demonstrated, in  
44 particular for VVER-440 type units (Ahlstrand et al., 1993; Pelli, and Törrönen, 1998; Viehrig et al.,  
45 2009). For example, in 1988 dry annealing at a temperature of 475 °C was applied to the RPV of  
46 NPP Greifswald Unit 1. The success of the annealing was shown using mini specimens prepared  
47 from shells of material, called boat samples, eroded from the inner RPV surface (Ahlstrand et al.,  
48 1993) before re-operation. This was later confirmed using standard samples prepared from trepans  
49 taken from the RPV wall after decommissioning of the unit (Viehrig et al., 2009).

50 Wet annealing (Fabry et al., 1984; Server and Biemiller, 1993; Pelli and Törrönen, 1998; Brumovsky  
51 et al., 2008; Krasikov, 2015; Kryukov, 2019) restricts the thermal annealing temperature to the  
52 design temperature of the nuclear steam supply system. In this process, the primary cooling water is  
53 heated up by means of the main circulation pumps with nuclear fission being stopped. This kind of  
54 heating the RPV is limited because of the simultaneously increasing, but also limited (by design),  
55 water pressure. A maximum temperature of 343 °C can be reached in this way. Large-scale wet  
56 annealing of RPVs was reported occasionally. Primary coolant and nuclear heat (US Army SM-1A)  
57 or primary pump heat (Belgian BR-3) were applied to heat the RPV (Brumovsky et al., 2008). The  
58 annealing temperature in the former case was 293 – 300 °C (service temperature 221 °C). The degree  
59 of recovery was about 70% of the irradiation effect in terms of the transition temperature shift. In the  
60 BR-3 reactor, the service temperature was 260 °C and the vessel was annealed at 343 °C. The  
61 recovery was estimated to be at least 50%. The originally planned but not realized wet annealing of  
62 the Yankee Rowe vessel at 343 °C (83 K above the service temperature) was predicted in the lab to  
63 give a 45 – 55% recovery (Server and Biemiller, 1993).

64 According to (Krasikov, 2015), the recovery effect is vanishing for irradiation temperatures that are  
65 less than 70 K below the annealing temperature. Assuming a wet annealing temperature of  
66 approximately 340 °C, the expected maximum irradiation temperature for noticeable recovery would  
67 be approximately 270 °C. This is close to the typical irradiation temperature of VVER-440-type  
68 reactors. On the basis of experimental results (Amayev et al., 1993; Brumovsky et al., 2008), it was  
69 concluded that the expected effect of wet annealing at a temperature of 340 °C would be too small to  
70 be considered as expedient for this type of reactors. However, a closer look at the reported results  
71 indicates a recovery of the transition temperature  $T_k$  of 20% on average (Amayev et al., 1993). More  
72 recently, lower levels of impurity Cu were reported to produce higher degrees of recovery after  
73 annealing at 340 °C (Kryukov, 2019). In conclusion, it is worth reconsidering the potential for partial  
74 recovery and possible lifetime extensions arising from wet annealing of VVER-440 RPVs taking into  
75 account an enhanced database and changed socio-economic factors, while maintaining necessary  
76 safety margins.

77 The present study aims at enhancing the database on the effect of annealing at a temperature of  
78 343 °C on the properties of neutron irradiated VVER-440-type RPV materials. Variations of the  
79 annealing time up to 2000 h are included. The limited amount of available as-irradiated material  
80 requires small-specimen techniques to be favored over standard tests. From this point of view, we  
81 have decided to cover standard Vickers hardness tests, small punch tests (SPT) revealing information  
82 on the transition temperature shift, and fracture mechanics tests using subsized compact tension (CT)

83 specimens. These methods are complemented by a microstructure study based on small-angle  
84 neutron scattering (SANS) with sensitivity to nm-sized irradiation-induced solute atom clusters.

## 85 **2 Experiments**

### 86 **2.1 Materials**

87 The materials originate from the RPVs of Units 4 and 8 of the NPP Greifswald, Germany. The RPV  
88 of Unit 4 represents the first generation of VVER-440/V230 NPPs, it was designed by OKB  
89 Hidropress and produced by Izhora in the former Soviet Union. Multilayer submerged arc welding  
90 was applied to assemble the forged rings of the RPV. The details of the welding process are reported  
91 elsewhere (Timofeev et al., 2010). Unit 4 was in operation from 1979 to 1990 for a total of 3208  
92 effective days. After decommissioning of Unit 4 in 1990, trepanns of diameter 119 mm were  
93 machined from the RPV wall of 150 mm thickness using a trepanning device equipped with a cutting  
94 tool consisting of four hard metal blades (Viehrig et al., 2018). The trepan in question originates from  
95 the beltline welding seam SN 0.1.4, the material designation is 10KhMFT. The samples in question  
96 referred to below as SG-4 were taken from slice No. 9 of the trepan representing a distance of 76 mm  
97 from the inner surface of the RPV wall. It is important to note that no unirradiated archive material is  
98 available from this welding seam.

99 The RPV of Unit 8 belongs to the second generation of VVER-440/V213 NPPs, it was produced by  
100 Škoda steel works (Czech Republic). Unit 8 was never put into service. Instead, the unirradiated RPV  
101 was cut into segments for dismantling. The samples of this study referred to below as GW-8  
102 correspond to the RPV base metal of designation 15Kh2MFAA. These samples originate from  
103 segment B3.G1.8 representing the forged ring 0.3.1, which underwent the following heat treatment:

- 104 • Austenitization at 1000 °C followed by oil quenching,
- 105 • Tempering at 680–720 °C followed by air cooling,
- 106 • Homogenization at 665 °C for 31 – 90 hours followed by furnace cooling,
- 107 • Stress relieving of the RPV after welding.

108 The compositions of the weld material SG-4 (10KhMFT) and the base metal GW-8 (15Kh2MFAA)  
109 introduced above are specified in Table 1. The microstructure of GW-8 is bainitic. Material SG-4  
110 exhibits an inhomogeneous microstructure typical of multilayer welds.

### 111 **2.2 Samples**

112 Using an electric discharge (EDS) machine, all specimens were cut from broken halves of previously  
113 tested unirradiated or as-irradiated Charpy-type samples (dimensions  $10 \times 10 \times 55 \text{ mm}^3$ ). The  
114 orientations of the tested samples with respect to the RPV were T-L and L-T for SG-4 and GW-8,  
115 respectively. For Vickers hardness testing, rectangular slices of dimensions  $10 \times 10 \times 1 \text{ mm}^3$  were  
116 cut. One side of these slices was mechanically ground and polished up to paper P1200 to remove the  
117 damage layer left by previous steps and guarantee a flat surface. The specimen dimensions used for  
118 Vickers hardness testing were also adopted for SANS experiments. In the case of SPT samples of  
119 area  $10 \times 10 \text{ mm}^2$ , two EDS runs at slower feed rates were added to one side of the eroded samples in  
120 order to remove the shallow erosion layer introduced before and reach the required surface quality.  
121 The final thickness was  $(0.500 \pm 0.005) \text{ mm}$ . A drawing of the subsized 0.16T-C(T) fracture  
122 mechanics specimens also eroded from broken halves of Charpy-type specimens is shown in  
123 Figure 1. Pre-cracks of a prospective length  $a_0$  of approximately 4.0 mm were introduced by means  
124 of resonance vibrations using the pulsator model Power Swingly 1 kN micro (SincoTec).

## 125 **2.3 Neutron irradiation**

126 As already mentioned, the samples of the weld material SG-4 were taken from the irradiated RPV of  
127 Unit 4 of NPP Greifswald after decommissioning and received their neutron exposure as a  
128 consequence of reactor operation (Viehrig et al., 2018). Samples of GW-8 were exposed to neutron-  
129 irradiation in the irradiation experiment NAP-2(C) using the BAGIRA irradiation rig at the research  
130 reactor of EK-CER Budapest, Hungary (Gillemot, 2010; Viehrig, 2010). The irradiation parameters  
131 experienced by the samples of this study are summarized in Table 2. Unirradiated reference samples  
132 are only available for GW-8.

## 133 **2.4 Recovery annealing**

134 Within this study, the samples were annealed under argon atmosphere using a single-zone tube  
135 furnace 13/50/200 (Carbolite Gero). A Eurotherm controller served for temperature control. The  
136 present study covers an annealing temperature of  $(343 \pm 1)$  °C and annealing times of 100, 300, 1000,  
137 and 2000 hours followed by furnace cooling. Each of these annealing times was applied to samples  
138 envisaged for Vickers hardness testing, while, because of the limited volume of available material,  
139 only selected annealing times were applied to samples foreseen for the other applied methods as  
140 specified below.

141 In order to emulate the unirradiated reference condition of the weld material SG-4, an additional  
142 annealing at 475 °C/152 h was included in the experimental program. It is known that this type of  
143 annealing results in approximately 100% recovery (Ulbricht et al., 2011). Therefore, it is justified to  
144 use the post-irradiation annealed material as a substitute for the missing unirradiated weld material.

## 145 **2.5 Methods**

146 The Vickers hardness HV10 (load 98.1 N) was measured according to the standard ISO 6507 using a  
147 ZHU2.5 universal hardness testing machine (Zwick/Roell) equipped with an optical add-on unit. A  
148 hardness reference plate served as a means to regularly check correct calibration of the system. For  
149 each material and annealing condition, the average hardness was calculated (along with standard  
150 deviation) from 16 single Vickers hardness indentations placed sufficiently far away from each other  
151 to avoid interaction.

152 The small punch test (SPT) was applied to determine the ductile-to-brittle transition temperature  
153 (Altstadt et al., 2021) of irradiated steels. The main SPT parameters used are: punch diameter  $d =$   
154 2.5 mm, receiving hole diameter  $D = 4$  mm, receiving hole edge chamfer  $0.2 \text{ mm} \times 45^\circ$ . The punch  
155 displacement  $v$  was measured by an inductive sensor with an accuracy of  $\pm 1 \mu\text{m}$ . The punch force  
156 was measured by means of a load cell placed between the puncher and the cross head of the  
157 electromechanical testing machine Inspekt 10 Desk (Hegewald & Peschke) with an accuracy of  $\pm 5 \text{ N}$ .  
158 For each test, the force-displacement curve  $F(v)$  was recorded and the small punch energy  $E_m$  was  
159 calculated as integral of  $F(v)$  up to the maximum force  $F_m$  (Altstadt et al., 2021). The range of test  
160 temperatures from -160 to 26 °C was realized on the basis of liquid nitrogen cooling/resistance  
161 heating of the sample holder using a temperature control unit cRio (National Instruments). The  
162 ductile-to-brittle transition temperature (DBTT)  $T_{SP}$  was determined based on the normalized SP  
163 energy  $E_n = E_m/F_m$  according to the standard EN 10371. The procedure includes the application of a  
164 tanh-fit to the data points  $E_n(T)$ .  $T_{SP}$  is defined as the temperature at which the fit curve reaches the  
165 average of the upper and lower shelf of the tanh-fit.

166 The master curve approach of brittle fracture mechanics (Wallin, 1999) was applied. For details on  
 167 the use of sub-sized specimens we refer to (Yamamoto and Miura, 2015). Fracture mechanics testing  
 168 of the pre-cracked sub-sized 0.16T-C(T) specimens was performed in accordance with the standard  
 169 ASTM E1921-21 using a servo-hydraulic test system MTS 810.21 (50 kN load capacity) equipped  
 170 with a 10 kN load cell. The crack opening displacement was measured using a clip-on gage model  
 171 3541-005M-025M-LHT (Epsilon Technology) and converted into load-line displacement. The values  
 172 of load and load-line displacement at crack instability along with the fractographically measured  
 173 length of the pre-crack were used to calculate the elastic and plastic components of the  $J$ -integral,  
 174 which was converted into the fracture toughness  $K_{Jc}$ . The test temperature was varied in the range  
 175 from -130 °C to -45 °C such that sufficient numbers of valid tests according to the standard could be  
 176 accumulated for each material condition. The  $K_{Jc}$  values measured for the used sub-sized 0.16T-C(T)  
 177 specimens were converted according to Equation 1 into equivalent  $K_{Jc(1T)}$  values corresponding to  
 178 standard 1T-C(T) specimens of 25.4 mm thickness (Yamamoto, 2015):

$$179 \quad K_{Jc(1T)} = K_{\min} + (K_{Jc} - K_{\min}) \left( \frac{B}{B_{1T}} \right)^{1/4} \quad (1)$$

180 With  $K_{\min} = 20 \text{ MPa}\sqrt{m}$ ,  $B_{1T} = 25.4 \text{ mm}$ , and  $B$  the thickness of the sub-sized samples, here  $B =$   
 181  $4.0 \text{ mm}$ , Equation 1 reduces to  $K_{Jc(1T)} = 7.4 \text{ MPa}\sqrt{m} + 0.63K_{Jc}$ . The reference temperature  $T_0$   
 182 according to the master curve concept (Wallin, 1999), that means, the temperature at which  $K_{Jc(1T)}$   
 183 reaches the level of  $100 \text{ MPa}\sqrt{m}$ , was determined by way of fitting Equation 2 to the  $K_{Jc(1T)} - T$   
 184 dependence:

$$185 \quad K_{Jc(\text{med})} = 30 + 70 \cdot \exp[0.19(T - T_0)] \quad (2)$$

186 Using the same value of  $T_0$ , 2% and 98% tolerance bounds were calculated according to Equations 3  
 187 and 4, respectively. In Equations 2, 3 and 4, the absolute terms and the pre-exponential factors are  
 188 given in units of  $\text{MPa}\sqrt{m}$ .

$$189 \quad K_{Jc(0.02)} = 24.1 + 29.0 \cdot \exp[0.19(T - T_0)] \quad (3)$$

$$190 \quad K_{Jc(0.98)} = 35.5 + 108.3 \cdot \exp[0.19(T - T_0)] \quad (4)$$

191 The SANS experiments were carried out at the instrument D33 (Dewhurst et al., 2016) of the  
 192 Institute Laue-Langevin (ILL) at Grenoble, France, using a neutron wavelength of 0.462 nm, a beam  
 193 diameter of 8 mm and a sample-detector distance of 2 m. During the measurements a saturation  
 194 magnetic field of 3 Tesla oriented perpendicular to the neutron beam was applied to the samples.  
 195 Absolute calibration was done using a water standard. The ILL software routines were applied to  
 196 separate magnetic and nuclear scattering cross sections from the total cross sections as functions of  
 197 the momentum transfer vector (also referred to as scattering vector)  $Q$ . The size distribution of  
 198 scatterers was calculated by solving the inverse problem for the measured magnetic difference  
 199 scattering curves (the scattering curve of the unirradiated condition taken as reference) using the  
 200 indirect Fourier transform method (Glatter, 1980). Non-magnetic scatterers randomly dispersed in the  
 201 ferromagnetic matrix were assumed as an approximation. Mean size, number density and volume  
 202 fraction of scatterers were estimated supposing spherical shape. Finally, the average ratio of magnetic  
 203 and nuclear scattering was calculated in terms of the so-called A-ratio,  $A = 1 + M/N$ , where  $M$  and  $N$   
 204 are the measured magnetic and nuclear difference scattering cross sections, respectively, both  
 205 integrated over the relevant range of  $Q$ .

206 Although small-specimen test techniques such as the small punch test and fracture mechanics testing  
207 of mini-CT samples were applied, only subsets of the materials and annealing conditions were  
208 studied using the methods introduced above. This is mainly due to limited availability of unirradiated  
209 and as-irradiated material. Indeed, unirradiated archive material does not exist in the case of weld  
210 material SG-4 as already mentioned. Moreover, the weld takes up only the innermost fraction of the  
211 tested Charpy-type samples, typically 10 – 20 mm from the center (notch). The specimens of this  
212 study had to be prepared from this fraction. The final test matrix is summarized below:

- 213 • Vickers hardness testing: All as-irradiated and post-irradiation annealed (temperature 343 °C,  
214 annealing times 100, 300, 1000, and 2000 h) conditions of both SG-4 and GW-8 are covered.  
215 Post-irradiation annealed (475 °C/152 hours) samples of SG-4 were tested to simulate the  
216 unirradiated reference.
- 217 • SPT: Unirradiated, as-irradiated, and post-irradiation annealed (343 °C/100 and 1000 hours)  
218 conditions of GW-8 are covered.
- 219 • Fracture mechanics testing: Unirradiated, as-irradiated, and post-irradiation annealed (only  
220 343 °C/1000 hours) conditions of GW-8 are covered.
- 221 • SANS: Unirradiated, as-irradiated, and post-irradiation annealed (only 343 °C/300 hours)  
222 conditions of GW-8 are covered.

## 223 3 Results

### 224 3.1 Vickers hardness

225 The measured average Vickers hardness HV10 and its standard deviation are summarized in Table 3  
226 for the base material GW-8. The results indicate the hardness to increase due to irradiation and to  
227 decrease at increasing annealing time as compared to the as-irradiated hardness. The latter effect is  
228 called recovery. The degree of recovery can be expressed as follows:

$$229 \quad \eta = \left(1 - \frac{P_{ia} - P_u}{P_i - P_u}\right) \times 100\% = \frac{P_i - P_{ia}}{P_i - P_u} \times 100\% \quad (5)$$

230  $P$  is a property, here  $P = HV10$ . Subscripts u, i, and ia denote the unirradiated, as-irradiated, and post-  
231 irradiation annealed conditions, respectively. The hardness difference with respect to the unirradiated  
232 reference and the degree of recovery are included in Table 3.

233 As mentioned before, unirradiated archive material does not exist for the weld material SG-4.  
234 Therefore, the unirradiated reference was emulated on the basis of irradiated material exposed to a  
235 post-irradiation recovery annealing at 475 °C/152 h. It was demonstrated beforehand (Ulbricht et al.,  
236 2011) that this kind of annealing gives rise to approximately 100% recovery, meaning that the  
237 annealed material serves as a good proxy of the unirradiated reference. Hence, the unirradiated  
238 condition in Equation 5 was replaced by the 475°C annealing in order to calculate the results for SG-  
239 4. The results are summarized in Table 4.

240 Figure 2 (A) for GW-8 and (B) for SG-4 illustrate the measured Vickers hardness plotted as function  
241 of the annealing time at 343 °C. The values measured for the as-irradiated material and the  
242 unirradiated reference are shown as baselines. The plots indicate that:

- 243 • The irradiation-induced hardness increase is similar for both materials,  $\Delta HV10$  is  
244 approximately 40 and 45 for GW-8 and SG-4, respectively.

- 245 • The effect of post-irradiation annealing is significant for both materials except for the 100 h  
246 annealing of SG-4.
- 247 • There are trends of decreasing Vickers hardness, that means increasing recovery, as function  
248 of annealing time for both materials.
- 249 • There is no clear saturation of the hardness recovery within the covered range of annealing  
250 time.
- 251 • The hardness level of the unirradiated reference, that means 100% recovery, is not reached  
252 within the covered range of annealing time.
- 253 • The degree of recovery found for GW-8 is significantly larger (approximately by a factor of  
254 2) than for SG-4.

### 255 **3.2 Small punch test**

256 The results of the individual small punch tests carried out for the base metal GW-8 are plotted in  
257 Figure 3 in terms of normalized SP energy versus test temperature. The best-fit tanh-curves are also  
258 plotted. The SPT-based ductile-brittle transition temperatures  $T_{SP}$  derived from the tanh-fits are  
259 summarized in Table 5. The errors are the result of the application of a Monte Carlo procedure  
260 (Urwank, 1989). The results indicate a significant irradiation-induced shift of the DBTT towards  
261 higher temperatures and significant effects of annealing. The degree of recovery consistent with  
262 Equation 5 is 28% and 35% for annealing durations of 100 h and 1000 h, respectively. The difference  
263 between the 100-h and 1000-h annealings is not significant. Interestingly, the slopes of the fitted  
264 curves for the two annealings differ considerably.

### 265 **3.3 Fracture mechanics**

266 The results of the fracture mechanics tests are shown in Figure 4 for the unirradiated (A), the as-  
267 irradiated (B), and the post-irradiation annealed conditions (C) of base metal GW-8. The measured  
268 data are indicated as symbols. Circles and triangles represent valid and invalid results, respectively,  
269 according to the standard. The validity window is enclosed by dotted lines. The dashed lines obtained  
270 by fitting (parameter  $T_0$ ) are the median  $K_{Jc}$ - $T$  curves according to Equation 2. The solid lines enclose  
271 the 2% to 98% probability range. The results indicate a significant irradiation-induced increase of the  
272 master curve reference temperature  $T_0$  and a significant annealing effect. Taking into account  
273 experimental errors, a minimum recovery of 50% and a mean value of recovery close to 100% were  
274 observed.

### 275 **3.4 Small-angle neutron scattering**

276 The measured total, nuclear and magnetic scattering cross sections of the unirradiated, as-irradiated  
277 and post-irradiation annealed conditions of base metal GW-8 are plotted in Figure 5 (A) as functions  
278 of the scattering vector  $Q$ . The separated magnetic scattering cross sections were used to determine  
279 the magnetic difference scattering curves in Figure 5 (B) with the unirradiated condition taken as  
280 reference. The fit lines in Figure 5 (B) are the Fourier counterparts (Glatter, 1980) of the size  
281 distributions shown in Figure 5 (C) in terms of the number density and volume fraction of irradiation-  
282 induced clusters. For absolute calibration, the scatterers were assumed to be non-magnetic (magnetic  
283 holes).

284 The mean radius of solute atom clusters that were formed during irradiation and survived after  
285 annealing was found to be  $(0.6 \pm 0.1)$  nm. The average ratio  $A$  of total (= nuclear + magnetic) and  
286 magnetic difference scattering cross sections is  $1.8 \pm 0.1$  and  $2.0 \pm 0.1$  for the as-irradiated and post-

287 irradiation annealed conditions, respectively. The results indicate a significant amount of irradiation-  
288 induced clusters in terms of both volume fraction and number density and a reduction of the number  
289 density of clusters as a result of the annealing at 343 °C/300 h. The size distribution in terms of  
290 volume fraction in Figure 5 (C) also indicates coarsening of part of the clusters. The apparent  
291 difference between the two representations of the size distribution at radii larger than 1.5 nm is due to  
292 the fact that coarser clusters contribute more to the volume fraction (third power of size) but less to  
293 the number density. The integrated total volume fractions  $c$  and number densities  $N$  of clusters as  
294 well as their respective degrees of recovery are listed in Table 7. It is important to note that the  
295 volume fraction of irradiation-induced clusters in the unirradiated condition is zero by definition.

296 The degree of recovery obtained by applying the different experimental methods to base metal GW-8  
297 is summarized in Table 8. We have found that each method indicates a significant partial recovery for  
298 each of the covered annealing times. The individual statistical errors of the degree of recovery are  
299 relatively large and there is a pronounced scatter from method to method. Methods applied to  
300 samples exposed to the same annealing time (100, 300 or 1000 °C) still give consistent results in the  
301 sense that the error ranges derived from the standard deviations of the measured quantities do  
302 overlap.

#### 303 4 Discussion

304 For the 15Kh2MFAA-type RPV base metal GW-8 annealed at 343 °C, each of the applied methods  
305 indicates a significant post-irradiation annealing effect, that means, a significant shift of the  
306 respective experimental quantity from its value in the as-irradiated condition towards its value in the  
307 unirradiated condition, so-called recovery. Despite the relatively large experimental errors of the  
308 degree of recovery it is worth considering the trends and comparing the values derived from different  
309 methods. First of all, all cases with variations of the annealing time (Vickers hardness and SPT)  
310 indicate a trend of the recovery increasing with increasing annealing time. A saturation of this trend  
311 towards a constant degree of recovery at increasing annealing time was not observed up to 2000 h,  
312 but cannot be excluded because of the errors. A further extension of the annealing time was not  
313 feasible owing to the multi-purpose use of the furnace. Moreover, annealing times beyond 2000 h are  
314 probably irrelevant from the viewpoint of practical feasibility in NPPs for economical reasons.

315 A comparison of the degrees of recovery obtained by means of Vickers hardness testing,  $(19 \pm 15)\%$ ,  
316 and SPT,  $(28 \pm 17)\%$ , for the annealing time of 100 h indicates rough agreement rather than a trend.  
317 Similar implications are applicable for the annealing time of 1000 h, for which Vickers hardness,  
318 SPT, and fracture mechanics testing indicate degrees of recovery of  $(67 \pm 19)\%$ ,  $(35 \pm 22)\%$ , and  
319  $(100 \pm 50)\%$ , respectively. However, it is worth noting that both  $\Delta T_{SP}$  derived from the SPT and  $\Delta T_0$   
320 derived from fracture mechanics testing may include contributions of non-hardening embrittlement  
321 (e.g. caused by phosphorous segregation to grain boundaries), which do not manifest themselves in  
322 the values of  $\Delta HV_{10}$ . Such contributions can neither be confirmed nor excluded on the basis of the  
323 present results. With respect to the recovery in terms of  $T_0$ , we suspect that the real recovery is closer  
324 to the lower limit of 50% than to the mean value of 100%, such that consistency with the recovery  
325 derived from the SPT (maximum of 57%) is given. Indeed, ductile-brittle transition temperature  
326 shifts and shifts of the master curve reference temperature are frequently reported to be correlated  
327 (Viehrig et al., 2002; Nanstad et al., 2018; Altstadt et al, 2021), which would imply equal degrees of  
328 recovery in the present context.

329 An interesting aspect of the SANS results is the dominant type of detected irradiation-induced  
330 nanofeatures. Among the nanofeatures known to form in neutron-irradiated RPV steels, Cu clusters

331 exhibiting A-ratios much larger than 2 (Mathon et al., 1997) can be excluded because of the  
332 measured A-ratio,  $A = 2.0$  and  $A = 1.8$  for as-irradiated and post-irradiation annealed GW-8 as well as  
333 the low Cu content of GW-8. A dominance of vacancy clusters exhibiting an A ratio of  $A = 1.4$   
334 (Bergner et al., 2008) can also be excluded. A low number density of dislocation loops may be  
335 present (Kocik et al., 2002), but does not give rise to significant SANS cross sections because of  
336 negligible SANS contrast (Bergner et al., 2008). Instead, the SANS observations are consistent with  
337 Mn-Ni-Si-enriched clusters (Almirall et al., 2019) as the dominant type of nanofeatures that formed  
338 under irradiation or survived after annealing. For VVER440-type RPV steels, these clusters may also  
339 contain Cr, which is not present in western-type RPV steels.

340 The difference between the degrees of recovery obtained by SANS for an annealing time of 300 h  
341 (based on either number density or volume fraction of clusters) can be understood as a result of the  
342 different roles of cluster size in the calculations of number density and volume fraction. As already  
343 mentioned above, there is an increase of the volume fraction of larger clusters (radii between 1.5 and  
344 5 nm, see Figure 5 (C)) as a result of annealing. The effect of these larger clusters is overrepresented  
345 in the volume fraction, which contains size to the third power, but comparatively underrepresented in  
346 the number density. The latter gives rise to an apparently larger degree of recovery. If we compare  
347 the average value of 32% with the degree of recovery derived from Vickers hardness testing and  
348 SPT, we observe reasonable agreement.

349 The whole set of data for GW-8 listed in Table 8 is graphically summarized in Figure 6. Different  
350 symbols stand for different experimental methods applied to estimate the degree of recovery. The  
351 dashed line does not represent any model or physically based trend line equation. Instead, it indicates  
352 that none of the experimental points is an outlier from a purely statistical point of view. In spite of  
353 considerable scatter, the whole set of data is statistically consistent with respect to a common trend.

354 A comparison of the recovery observed by Vickers hardness testing for the base metal GW-8 and the  
355 weld material SG-4 is particularly important, because the neutron embrittlement of the weld located  
356 in the beltline region of the RPV is the dominant factor that limits the lifetime of the RPV. In the  
357 present case, the weld material is particularly meaningful, as it was directly taken from the beltline  
358 region of a real RPV. Therefore, both neutron flux and irradiation temperature are representative of  
359 the real situation. Instead, the externally irradiated base metal of the present study was exposed to a  
360 higher irradiation temperature (290 °C instead of 270 °C) and a three orders of magnitude higher  
361 neutron flux.

362 Most importantly, the degree of recovery in terms of Vickers hardness obtained for weld material  
363 SG-4 (Table 4) is significantly larger than zero except for the shortest annealing time of 100 h. This  
364 indicates a significant recovery. Comparing this degree of recovery with the base metal GW-8 (Table  
365 3), we have found a significantly lower recovery for the weld. There are three potential sources of  
366 this difference: material/microstructure, irradiation temperature, and neutron flux. Little can be said  
367 here about the effect of the material, because the composition and microstructure of the base metal  
368 and weld are different in several respects, see section 2.1. The higher phosphorus content in SG-4  
369 may result in a higher fraction of non-hardening embrittlement. The higher copper content of SG-4  
370 may give rise to a smaller degree of recovery at 343 °C (Kryukov, 2019). With respect to the  
371 irradiation temperature, 270 °C for the weld as compared to 290 °C for the base metal, it is expected  
372 for otherwise equal conditions that the higher difference between the temperatures of annealing and  
373 irradiation would give rise to a more pronounced recovery in the weld. This is obviously not the case  
374 in our study. It can be concluded that the irradiation temperature is not the dominating factor here.  
375 Finally, the three orders of magnitude higher neutron flux experienced by the base metal GW-8 is

376 expected to give rise to a significantly larger fraction of so-called unstable matrix defects (Odette and  
377 Lucas, 1998) of unspecified nature in addition to more stable solute atom clusters. By definition, an  
378 annealing at 343 °C removes most of the unstable matrix defects and reverses the hardening that  
379 resulted from it. It can be tentatively concluded that the more efficient recovery of the base metal as  
380 compared to the weld material is due to the much higher neutron flux.

381 After the discussion of the results obtained within this study it is interesting to consider the  
382 observations in the broader context of reported results. In an early basic study, (Pachur, 1982)  
383 reported the Vickers hardness of a neutron-irradiated A533B-type RPV steel (irradiation temperature  
384 290 °C) as function of the post-irradiation annealing time for isothermal annealing at 400 °C. This  
385 author found a decrease of the Vickers hardness for annealing times below 2 hours (stage 3 in  
386 (Pachur, 1982)) followed by a slight increase or plateau of the hardness and a further decrease in the  
387 range between 7 and 25 hours (stage 4 in (Pachur, 1982)). It was possible to attribute an Arrhenius-  
388 type of behavior with activation energies of 1.86 eV and 2.05 eV to stages 3 and 4, respectively,  
389 indicating different mechanisms of recovery and different types of irradiation-induced defects.  
390 However, the study was unspecific about these mechanisms and types of defects. The results of the  
391 present study can be compared with the reported results realizing that the lower annealing  
392 temperature of 343 °C instead of 400 °C is compensated by much longer annealing times up to 2000  
393 hours instead of 25 hours. While the investigated base metal GW-8 does not show such a two-stage  
394 behavior in the considered range of annealing times, the results obtained for the weld material SG-4  
395 might be consistent with the operation of two different stages. Beyond this, the framework of  
396 empirical stages applied to the present results does not seem to generate further insight.

397 A comprehensive study on the annealing behavior at 340 °C of neutron-irradiated (temperature  
398 270 °C, different fluences) VVER-440 base metals and welds was reported by (Amayev et al., 1993)  
399 in terms of the Charpy-V transition temperature shift  $\Delta T_T$  (see also (Brumovsky et al., 2008)). The  
400 annealing time selected for that study was 150 hours. The average recovery of  $\Delta T_T$  was found to be  
401 approximately 20% with a wide range of scatter from 0 to 36% depending on both the neutron  
402 fluence and the type of material (base metal versus weld), weld material exhibiting the lower degrees  
403 of recovery between 0 and 20%. The present dependence of the recovery in terms of Vickers  
404 hardness on the annealing time indicates that at increasing time the degree of recovery tends to  
405 increase to beyond the values reported by (Amayev et al., 1993) suggesting the possible efficiency of  
406 long-term wet annealing of VVER-440 RPVs. Taking notice of the correlations with  $\Delta T_T$ , this is also  
407 confirmed by the degrees of recovery of  $\Delta T_{SP}$  and  $\Delta T_0$  observed for 1000 hours. Another important  
408 aspect is the effect of the level of impurity copper, which is lower for GW-8 as compared to the RPV  
409 steels studied by Amayev et al. (0.05% versus ~0.12%). Indeed, an increasing Cu content was  
410 reported to result in a trend of the degree of recovery after annealing at 340 °C to decrease, at least at  
411 Cu contents beyond 0.2% (Kryukov, 2019).

412 In other studies, the annealing behavior of Cu-containing A533B cl. 1 RPV steels JRQ (forging,  
413 0.15% Cu) and JPA (plate, 0.29% Cu) (Ulbricht et al., 2006) and a low-Cu VVER-1000 RPV weld  
414 (SV10KhGNMAA, 0.04% Cu) (Ulbricht et al., 2023) was reported. These studies have in common a  
415 comparatively low irradiation temperature of 255 °C and post-irradiation annealings at 350 °C/10  
416 hours. The degrees of recovery of  $\Delta HV_{10}$  derived from the reported data are summarized in Table 9.  
417 It is found that, despite the much shorter annealing as compared to the present study (10 hours versus  
418 100 hours), the degree of recovery of  $\Delta HV_{10}$  is higher (VVER-1000 weld as compared to weld SG-  
419 4) or comparable (JRQ and JPA as compared to base metal GW-8). While for the latter two the  
420 higher Cu content may play a role, the dominant factor for the more efficient recovery is certainly the  
421 lower irradiation temperature and the resulting larger difference between annealing and irradiation

422 temperature of 95 K. Fabry et al. reported results on the annealing at 343 °C/672 hours of A302B-  
423 type RPV plate steel neutron-irradiated at 274 °C (Fabry et al., 1984). Based on  $\Delta TT$ , the degree of  
424 recovery was estimated to be less than 50%, which is consistent with the degree of recovery obtained  
425 from the small punch test in the present study.

426 Finally, it is worth referring to a SANS study of two neutron-irradiated RPV welds during in-situ  
427 annealing (Boothby et al., 2015). The reported weld is characterized by a low Ni content (0.08 wt%)  
428 but artificially high Cu content (0.56 wt%). It was irradiated at 250 °C up to a neutron fluence of  
429 approximately  $5 \times 10^{18}$  n/cm<sup>2</sup> ( $E > 1$  MeV), that means, one order of magnitude less than for GW-  
430 8 of the present study. Based on the reported data, post-irradiation annealing at 347 °C/0.5 hours  
431 resulted in 9% and 15% recovery in terms of volume fraction and number density, respectively.  
432 Taking into account the different Cu contents, irradiation conditions, and annealing times as  
433 compared to the present SANS study, these degrees of recovery are in a reasonable proportion  
434 with the results listed in Table 7.

## 435 **5 Conclusions**

436 The experimental data presented for an annealing temperature of  $T = 343$  °C extend an existing data  
437 base on the recovery of neutron-irradiated RPV steels at annealing temperatures representative of wet  
438 annealing. The included VVER-440 base metal was irradiated at a relatively high temperature of  
439 290 °C and experienced a high neutron flux, while the irradiation conditions of the VVER-440-type  
440 weld (270 °C, low flux) are representative of the real pressure vessel. The added value is particularly  
441 associated with the covered range of annealing times from 100 up to 2000 hours. The data indicate a  
442 progressing recovery at increasing annealing time instead of a saturation. Moreover, a multitude of  
443 methods was applied to independently estimate degrees of recovery while managing with the limited  
444 amount of available material. The large method-to-method variability of the degree of recovery partly  
445 results from statistical errors and is partly due to the different details revealed by the applied methods  
446 as indicated above.

447 It is neither the objective of this study nor possible to recommend wet annealing in any particular  
448 case. On the one hand, a broader data base is required. On the other hand, archive material runs out.  
449 As a learnt lesson, small-specimen test techniques and the re-use of existing material, e.g. SANS  
450 followed by Vickers hardness on the same samples, are beneficial.

## 451 **Data Availability Statement**

452 The datasets presented in this study can be found in the RODARE online repository of HZDR at  
453 <https://doi.org/10.14278/rodare.3006>.

## 454 **Author Contributions**

455 EA: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation,  
456 Methodology, Project administration, Supervision, Writing–review and editing. FB:  
457 Conceptualization, Data curation, Formal analysis, Funding acquisition, Methodology, Supervision,  
458 Writing–original draft, Writing–review and editing. JB: Data curation, Formal analysis,  
459 Investigation, Writing–review and editing. PC: Data curation, Formal analysis, Investigation,  
460 Methodology, Writing–review and editing. JD: Data curation, Formal analysis, Investigation,  
461 Writing–review and editing. MH: Data curation, Formal analysis, Methodology, Writing–review and  
462 editing. AU: Data curation, Formal analysis, Investigation, Writing–review and editing.

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472 **Conflict of Interest**

473 The authors declare that the research was conducted in the absence of any commercial or financial  
474 relationships that could be construed as a potential conflict of interest.

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- 579

580 **Tables**

581

582 TABLE 1 Results of analyses of 10KhMFT-type weld material SG-4 and 15Kh2MFAA-type base  
583 metal GW-8 in units of mass-% (rest Fe).

|      | <b>C</b> | <b>Mn</b> | <b>Si</b> | <b>Cr</b> | <b>Ni</b> | <b>Mo</b> | <b>V</b> | <b>P</b> | <b>Cu</b> |
|------|----------|-----------|-----------|-----------|-----------|-----------|----------|----------|-----------|
| SG-4 | 0.04     | 1.10      | 0.31      | 1.47      | 0.13      | 0.49      | 0.17     | 0.032    | 0.13      |
| GW-8 | 0.15     | 0.45      | 0.30      | 2.86      | 0.10      | 0.79      | 0.31     | 0.008    | 0.05      |

584

585 TABLE 2 Irradiation conditions.

| <b>Material</b> | <b>Temperature<br/>(°C)</b> | <b>Irradiation time<br/>(days)</b> | <b>Fluence, E &gt; 1 MeV<br/>(10<sup>19</sup> n/cm<sup>2</sup>)</b> | <b>Neutron flux, E &gt; 1 MeV<br/>(10<sup>12</sup> n/cm<sup>2</sup>s)</b> |
|-----------------|-----------------------------|------------------------------------|---|---|
| SG-4            | 270                         | 3207.9                             | 1.073   | 0.0387  |
| GW-8            | 290                         | 48.75                              | 11.7  | 27.8  |

586

587 TABLE 3 Average Vickers hardness HV10 with standard deviation, derived Vickers hardness  
588 difference with respect to the unirradiated reference, and degree of recovery for base metal GW-8.

| <b>Condition</b>               | <b>HV10</b> | <b>HV10-HV10<sub>u</sub></b> | <b>Recovery <math>\eta</math> (%)</b> |
|--------------------------------|-------------|------------------------------|---------------------------------------|
| Unirradiated                   | 213.3 ± 2.2 | (0)                          | (100)                                 |
| Irradiated                     | 253.7 ± 4.0 | 40.4 ± 4.6                   | (0)                                   |
| Irradiated and annealed 100 h  | 246.2 ± 3.5 | 32.9 ± 4.1                   | 19 ± 15                               |
| Irradiated and annealed 300 h  | 237.7 ± 2.5 | 24.4 ± 3.3                   | 40 ± 16                               |
| Irradiated and annealed 1000 h | 226.5 ± 2.6 | 13.2 ± 3.4                   | 67 ± 19                               |
| Irradiated and annealed 2000 h | 222.6 ± 1.6 | 9.3 ± 2.7                    | 77 ± 19                               |

589

590

591 TABLE 4 Average Vickers hardness HV10 with standard deviation, derived Vickers hardness  
 592 difference with respect to the approximate unirradiated reference, and degree of recovery for weld  
 593 SG-4.

| <b>Condition</b>               | <b>HV10</b>     | <b>HV10-HV10<sub>u</sub></b> | <b>Recovery <math>\eta</math> (%)</b> |
|--------------------------------|-----------------|------------------------------|---------------------------------------|
| Unirradiated (approximate)     | 178.9 $\pm$ 1.9 | (0)                          | (100)                                 |
| Irradiated                     | 223.7 $\pm$ 1.3 | 44.8 $\pm$ 2.3               | (0)                                   |
| Irradiated and annealed 100 h  | 223.0 $\pm$ 2.4 | 44.1 $\pm$ 3.1               | 2 $\pm$ 6                             |
| Irradiated and annealed 300 h  | 216.2 $\pm$ 2.3 | 37.3 $\pm$ 3.0               | 17 $\pm$ 7                            |
| Irradiated and annealed 1000 h | 212.5 $\pm$ 4.1 | 33.6 $\pm$ 4.5               | 25 $\pm$ 11                           |
| Irradiated and annealed 2000 h | 206.5 $\pm$ 2.3 | 27.6 $\pm$ 3.0               | 38 $\pm$ 8                            |

594

595 TABLE 5 Transition temperature  $T_{SP}$  from the SPT with standard deviation, difference with respect  
 596 to the unirradiated reference, and degree of recovery for base metal GW-8.

| <b>Condition</b>               | <b><math>T_{SP}</math> (<math>^{\circ}</math>C)</b> | <b><math>T_{SP} - T_{SP,u}</math> (K)</b> | <b>Recovery <math>\eta</math> (%)</b> |
|--------------------------------|---|---|---------------------------------------|
| Unirradiated                   | -170 $\pm$ 4  | (0)                                       | (100)                                 |
| Irradiated                     | -130 $\pm$ 5  | 40 $\pm$ 7                                | (0)                                   |
| Irradiated and annealed 100 h  | -141 $\pm$ 3  | 29 $\pm$ 5                                | 28 $\pm$ 17                           |
| Irradiated and annealed 1000 h | -144 $\pm$ 5  | 26 $\pm$ 7                                | 35 $\pm$ 22                           |

597

598 TABLE 6 Master-curve reference temperature  $T_0$  with standard deviation, difference with respect to  
 599 the unirradiated reference, and degree of recovery for base metal GW-8.

| <b>Condition</b>               | <b><math>T_0</math> (<math>^{\circ}</math>C)</b> | <b><math>T_0 - T_{0,u}</math> (K)</b> | <b>Recovery <math>\eta</math> (%)</b> |
|--------------------------------|--|---------------------------------------|---------------------------------------|
| Unirradiated                   | -90.3 $\pm$ 6.1                                  | (0)                                   | (100)                                 |
| Irradiated                     | -55.4 $\pm$ 6.4                                  | 35 $\pm$ 9                            | (0)                                   |
| Irradiated and annealed 1000 h | -90.4 $\pm$ 6.9                                  | 0 $\pm$ 10                            | 100 $\pm$ 50                          |

600

601

602 TABLE 7 Total volume fractions  $c$  and total number densities  $N$  of solute atom clusters in base metal  
 603 GW-8 as well as their respective degrees of recovery.

| Condition                     | $c$ (vol%)      | Recovery $\eta$ (%) | $N$ (cm <sup>-3</sup> ) | Recovery $\eta$ (%) |
|-------------------------------|-----------------|---------------------|-------------------------|---------------------|
| Unirradiated                  | (0)             | (100)               | (0)                     | (100)               |
| Irradiated                    | $0.19 \pm 0.02$ | (0)                 | $136 \pm 15$            | (0)                 |
| Irradiated and annealed 300 h | $0.15 \pm 0.02$ | $21 \pm 17$         | $78 \pm 8$              | $43 \pm 17$         |

604

605 TABLE 8 Degrees of recovery derived from the application of different characterization methods for  
 606 the annealing times covered in the present study (base metal GW-8).

| Method                                | Annealing time (h) | Recovery $\eta$ (%) |
|---------------------------------------|--------------------|---------------------|
| Vickers hardness ( $\Delta HV_{10}$ ) | 100                | $19 \pm 15$         |
|                                       | 300                | $40 \pm 16$         |
|                                       | 1000               | $67 \pm 19$         |
|                                       | 2000               | $77 \pm 19$         |
| SPT ( $\Delta T_{SP}$ )               | 100                | $28 \pm 17$         |
|                                       | 1000               | $35 \pm 22$         |
| Fracture mechanics ( $\Delta T_0$ )   | 1000               | $100 \pm 50$        |
| SANS ( $c$ )                          | 300                | $21 \pm 17$         |
| SANS ( $N$ )                          | 300                | $43 \pm 17$         |

607

608 TABLE 9 Recovery of  $\Delta HV_{10}$  derived from reported values after annealing at 350 °C/10 hours. The  
 609 irradiation temperature was 255 °C, the neutron flux was in the range  $2.8\text{--}5.4 \times 10^{12}$  cm<sup>-2</sup> s<sup>-1</sup> ( $E > 0.5$   
 610 MeV). Divide fluence by 1.5 to get an approximation of the fluence for neutron energies  $E > 1$  MeV.

| Material         | Neutron fluence, $E > 0.5$ MeV<br>( $10^{18}$ cm <sup>-2</sup> ) | Recovery of $\Delta HV_{10}$ ,<br>$\eta$ (%) |
|------------------|--|--|
| A533B cl. 1, JRQ | 139  | $17 \pm 5$                                   |
| A533B cl. 1, JPA | 80   | $15 \pm 7$                                   |
| VVER-1000 weld   | 65   | $17 \pm 8$                                   |

611

612

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614

615 FIGURE 1 Drawing of the 0.16T-C(T) compact tension specimen for fracture mechanics testing.  
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628 FIGURE 6 Graphical summary of the degrees of recovery derived from different experimental  
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